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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	20 pages + 109 refs.	Application Number	10/622,869
		Filing Date	July 18, 2003
		First Named Inventor	Suresh K. TIKOO
		Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	293102003600

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Form PTO-1449 + duplicate copy - 16 pages
<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> Request for Refund	One hundred nine (109) references
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MORRISON & FOERSTER LLP Debra J. Glaister - 33,888	25226
Signature		
Date	October 9, 2003	

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 336628229 US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

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(Tamara Alcaraz)

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Dated: October 9, 2003

Signature:

(Tamara Alcaraz)

PATENT

Docket No. 293102003600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



the application of:

Suresh K. TIKOO

Serial No.: 10/622,869

Filing Date: July 18, 2003

For: PAV REGIONS FOR ENCAPSIDATION
AND E1 TRANSCRIPTIONAL
CONTROL

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. §1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references numbered 1 through 4 are not submitted. Copies of foreign patent documents and non-patent literature are submitted herewith in accordance with 37 C.F.R. § 1.98 (a)(2). The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - A fee is required. A check in the amount of __ is enclosed.
 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of __ is enclosed.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 293102003600. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 9, 2003

Respectfully submitted,

By:



Debra J. Glaister
Registration No. 33,888

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
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Filing Date July 18, 2003	Group Art Unit Not Yet Assigned
Mailing Date October 9, 2003	



U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/14/2002	2002/0019051	Lusky et al.			
	2.	10/13/1998	5,820,868	Mittal et al.			
	3.	12/14/1999	6,001,591	Mittal et al.			
	4.	12/10/2002	6,492,343	Reddy et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	5.	03/09/1988	EP 0 259 149	Europe			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	6.	GenBank Accession No. AF083132, "Sequence Analysis of Putative pVIII, E3 and Fibre Regions of Porcine Adenovirus Type 3," created on January 3, 1999, located at < http://www.ncbi.nlm.nih.gov > last visited on February 6, 2003, fourteen pages.
	7.	GenBank Accession No. J01917, "The Nucleotide Sequence of a Low Molecular Weight Ribonucleic Acid From Cells Infected with Adenovirus 2," Created on March 14, 1996, located at < http://www.ncbi.nlm.nih.gov > last visited on September 8, 2003, thirty-seven pages.
	8.	GenBank Accession No. M73260, "The Sequence of the Genome of Adenovirus Type 5 and its Comparison with the Genome of Adenovirus Type 2," created on April 8, 1996, located at < http://www.ncbi.nlm.nih.gov > last visited on October 26, 2000, twelve pages.
	9.	GenBank Accession No. U34592, "Porcine Adenovirus 3 Hexon Gene, Complete CDS: Direct Submission," Created June 11, 1996, located at < http://www.ncbi.nlm.nih.gov > last visited on September 8, 2003, three pages.
	10.	GenBank Accession No. U82628, "Nucleotide and Amino Acid Sequence Analysis of the 100K Protein of a Serotype 3 Porcine Adenovirus," created on August 5, 1999, located at < http://www.ncbi.nlm.nih.gov > last visited on September 8, 2003, two pages.
	11.	Bartha, A. (1969). "Proposal For Subgrouping of Bovine Adenoviruses," <i>Acta Vet. Acad. Sci. Hung.</i> 19(3):319-321.

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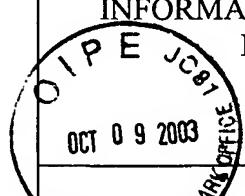
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<i>PTENT & TRADEMARK OFFICE</i> <i>OCT 09 2003</i>	Guilfoyle, R.A. et al. (1985). "Two Functions Encoded by Adenovirus Early Region 1A are Responsible for the Activation and Repression of the DNA-Binding Protein Gene," <i>EMBO J.</i> 4(3):707-713.
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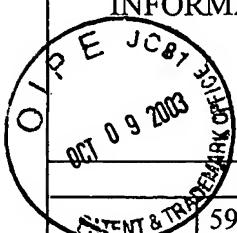
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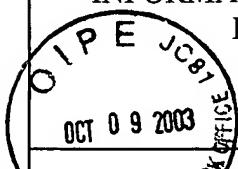
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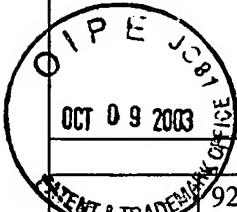
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		Applicant	Suresh K. TIKOO
		Filing Date July 18, 2003	Group Art Unit Not Yet Assigned
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